

## MIFIDPRU 2 Annex 4R

### Application for exemption from liquidity requirements on a consolidated basis under MIFIDPRU 2.5.19R

Details of Senior Manager responsible for this application:

*If the application is being made in respect of a MIFIDPRU investment firm or another SMCR firm, we would expect the individual responsible for it to hold a senior management function (SMF).*

Name of individual	
Job title / position	
Individual reference number (if applicable)	

1. Please list all MIFIDPRU investment firms within the investment firm group and confirm whether they are subject to the rules in MIFIDPRU 6 on an individual basis.

FRN	MIFIDPRU investment firm name	Subject to liquidity requirements on individual basis?
		Yes/No
		Yes/No
		Yes/No

2. Please explain, in detail, why an exemption from the consolidated application of the liquidity requirements in MIFIDPRU 6 is appropriate – taking into account the nature, scale and complexity of the investment firm group. Please substantiate your response by providing supporting information.

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Supporting information attached